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## **A Systematic Review to Examine the Effectiveness of Antibiotic Educational Programs in Outpatient Settings at Reducing Antibiotic Prescribing?**

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A SYSTEMATIC REVIEW TO EXAMINE THE EFFECTIVENESS OF ANTIBIOTIC  
EDUCATIONAL PROGRAMS IN OUTPATIENT SETTINGS AT REDUCING  
ANTIBIOTIC PRESCRIBING?

by

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## **Abstract**

Antibiotic resistance has been identified by both the Center for Disease Control and the World Health Organization as a worldwide epidemic. Antimicrobial stewardship programs have been utilized at inpatient settings that include educational programs about antibiotic resistance. A systematic review was conducted to evaluate the effectiveness of antimicrobial resistance education in outpatient settings. The databases searched were MEDLINE, PubMed, Google Scholar and CINAHL. PRISMA checklist and flow diagram were used for identifying the randomized control trials for the systematic review. A total of five articles were identified and organized using data collection tables. The Critical Appraisal Skills Programme (CASP) checklist was used to assess the quality of the trials. All five of the articles showed improvement in overall antibiotic prescribing with education in an outpatient setting. Limitations to the studies included patient/provider drop-out rates, changing diagnoses to order antibiotics, lack of inclusion of all antibiotics ordered by practices, time of year the studies took place, and provider access to training regardless of being in sample. Implications for advanced practice nursing were identified as education, starting antibiotic research, utilizing APRN in research and leadership were discussed. Further research is indicated in the effectiveness of outpatient teaching to reduce antibiotic resistance as well as other areas of research the antimicrobial stewardship programs are utilizing in the inpatient settings.

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A SYSTEMATIC REVIEW TO EXAMINE THE EFFECTIVENESS OF ANTIBIOTIC  
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ANTIBIOTIC PRESCRIBING?

**Background/Statement of the Problem**

According to the Center for Disease Control and Prevention (CDC; 2020), the United States has over 35,000 deaths and over 2 million illnesses per year due to antibiotic resistance. Antibiotic resistance is when bacteria are able to defeat and continue to replicate despite antibiotic treatment. The CDC recommends four ways to reduce antimicrobial resistance (AMR): better lab diagnostics to improve antibiotic prescribing, sharing of data amongst countries, better infection control measures and the appropriate use of antibiotics. The Center of Disease Control (2020) appropriate use of antibiotics includes the overuse and prescribing of antibiotics.

The World Health Organization (WHO; 2018) also identified AMR as a worldwide epidemic. The WHO noted further research into bacterial identification is needed to target specific microbials. Bacterial identification is when a sample is taken and studied to determine the type of bacteria causing infection. Bacterial identification is required to increase the effectiveness of antibiotics. The WHO and CDC acknowledge the need for stricter regulations on antibiotic prescribing. Both organizations believe implementation of antimicrobial stewardship programs (ASP) will help to fight the epidemic of antibiotic resistance in inpatient setting. Antimicrobial stewardship programs consist of teams of infectious disease medical professionals and pharmacists to ensure the proper antibiotic treatments. The ASP also creates educational tools for providers to enhance appropriate antibiotic prescribing practices (WHO, 2018).

The CDC (2015) found programs dedicated to improving antibiotic use like the ASPs optimize the treatment of infections and reduce adverse events associated with antibiotic use. The CDC (2019) found prevention efforts have reduced deaths from antibiotic-resistant infections by 18 percent overall and by nearly 30 percent in hospital settings. The CDC and WHO describe the amount of antibiotics unnecessarily prescribed is the leading reason for an epidemic of antibiotic resistance. Ventola (2015) demonstrated there is a relationship between antibiotic consumption and the emergence of resistant bacterial strains.

Outpatient settings do not have teams of infectious disease medical professionals and pharmacists to ensure the proper antibiotic treatments like inpatient settings. Most outpatient settings are offices with specific type of providers like primary care, cardiac or infectious disease. The inpatient settings can have infectious disease providers run audits on the prescribed antibiotics for all patients in that setting to focus training on. Education is one of the most important tools for fighting the current antibiotic resistance problem that faces the world today. The question remains would using these antibiotic educational programs be effective in an outpatient setting at reducing antibiotic prescribing?

## Literature Review

While conducting a review of literature the following databases were searched: MEDLINE, PubMed, and Google Scholar. The search terms used to identify literature included antibiotic development, antibiotic resistance, antibiotic method of action, inpatient and outpatient Antimicrobial Stewardship Program (ASP), antibiotic resistant bacterial infections, outpatient bacterial infections and reduced antibiotic prescribing.

### Development of Antibiotics

Antibiotic development is associated with Paul Ehrlich and Alexander Fleming. Aminov (2010) described how antibiotic use can be traced back to ancient times. Natural traces of tetracycline were found in human skeletal remains and is explained by ancient diets high in tetracycline-containing materials. Paul Ehrlich observed that synthetic dyes could stain certain microbes and not others. From this observation he concluded that chemical compounds could be synthesized that would target specific microbes. In 1904, he began a systematic screening program to find a drug to treat syphilis. Syphilis was usually treated with inorganic mercury salts but Ehrlich and others developed a compound in 1909, Atoxyl, that first cured syphilis in rabbits. Later they developed Salvarsan, which was used in human trials and was a great success. Finally, Neosalvarsan was developed until it was replaced by penicillin in the 1940s. The systematic screening process for bacterial identification became the cornerstone for matching antibiotics drugs to susceptible microbes for the pharmaceutical industry.

Alexander Fleming is credited with discovering penicillin on September 3, 1928. He observed mold that grew on a culture had caused bacteria to die. Others had



previously made similar observations but Fleming was persistent. He then requested assistance with purification and stability of the active substance and supplied the *Penicillium* strain to anyone requesting it. An Oxford team led by Howard Florey and Ernest Chain published a paper about purification of penicillin, which eventually led to penicillin mass production and distribution in 1945. Even early on Fleming cautioned about resistance to penicillin if used improperly (Aminov, 2010).

### **Mechanism of Action of Antibiotics**

Antibiotics are used to treat bacterial infections by causing bacterial cell death. The antibiotic induces cell death by inhibiting essential cellular functions of the bacteria. Antibiotics can be classified by the system they affect and whether they cause cell death (bactericidal drugs) or inhibit the cell growth (bacteriostatic drugs). Most antibiotics either inhibit DNA/RNA synthesis, cell wall synthesis or protein synthesis. Understanding the multilayered mechanisms that kill bacteria is important because of the increased prevalence of AMR bacteria (Kohanski, Dwyer, & Collins, 2010).

Kohanski et al. (2010) explains bacterial cell death occurs when the formation of double stranded DNA is broken due to the introduction of DNA gyrase inhibitors or the arrest of RNA synthesis with treatment. Bacterial cell wall damage and loss of structural integrity is caused by treatments with cell-wall synthesis inhibitors. Bacterial death from protein synthesis is treated with protein synthesis inhibitors.

### **Antibiotic Classification**

Antibiotics are classified in several manners. The most common classification is based on their molecular structure or their mode of action. Other classifications include

route of administration (injectable, oral, and topical). Antibiotics within the same structural class usually show similar effectiveness and toxicity but also share similar side effects. The first group of antibiotics are beta-lactams, they interfere with proteins needed for synthesis of bacterial cell wall and in the process either kills or inhibits their growth. These beta-lactam antibiotics include penicillin, cephalosporins, monobactams and carbapenems (Etebu & Ariekpar, 2016).

Macrolides, like azithromycin, clarithromycin etc., either kill or inhibit microorganisms by inhibiting protein synthesis. They bind to bacterial ribosome and prevent the addition of amino acid to polypeptide chains during protein synthesis. Quinolones were first discovered as nalidixic acid while in search of antimalarial drugs. These antibiotics interfere with DNA replication and transcription in bacteria. Common quinolones are cinoxacin, norfloxacin, ciproxacin, temafloxacin and others. Aminoglycosides are broad spectrum antibiotics and inhibit protein synthesis by binding to one of the ribosomal subunits. Streptomycin is an aminoglycoside used to treat *Mycobacterium tuberculosis* (Etebu and Ariekpar, 2016).

Sulfonamides, like trimethoprim and sulfamethoxazole, are generally thought to be bacteriostatic, but they may become bactericidal if the concentration is high enough or if used in the presence of environmental conditions unfavorable to bacteria. Glycopeptide antibiotics, like vancomycin, act primarily by inhibiting cell wall synthesis of bacteria. Oxazolidinones antibiotics mechanism of action is not yet fully understood; they are reported to interfere with protein synthesis (Etebu and Ariekpar, 2016).

## **Complications of Antibiotics**

Antibiotics have common side effects, which cause minor to severe health problems (Anderson, 2019). The side effects are caused by a hypersensitivity to the antibiotic medication. The minor side effects include rash, dizziness, nausea, diarrhea and yeast infections. Minor side effects are usually short term and will usually resolve with completion of the antibiotics or switching the prescribed antibiotic. Yeast infections, oral thrush, and diarrhea can be associated with the loss of normal bacteria and flora due to antibiotic use.

More severe hypersensitivity to antibiotics include allergic reactions, Clostridium difficile infection, and severe stomach cramps. These side effects usually lead to emergency room visits. Anaphylactic reactions are severe hypersensitivity reactions, which include shortness of breath, wheezing, severe nausea/vomiting, lightheadedness, dizziness, rapid heart rate, swelling of the face, lips or tongue, and/or shock (Anderson, 2019).

Other adverse reactions from the use of antibiotics include Stevens Johnson Syndrome (SJS) and Toxic Epidermal Necrolysis (TEN). Stevens Johnson Syndrome and TEN are rare conditions but serious allergic reactions that result in severe skin, mucous membrane disorders, and death. These reactions can occur with all antibiotics but are more commonly associated with sulfonamides, penicillin's, cephalosporins, and fluoroquinolones (Anderson, 2019).

Another adverse condition of antibiotics is antibiotic resistance. The Center for Disease Control (2020) and the World Health Organization (2018) report the rate of

antibiotic resistance as a world-wide epidemic. The Center for Disease Control (2020) reported 2.8 million antibiotic resistant infections each year with 35,000 deaths. In addition, the CDC reported 223,900 c-diff infections with 12,800 associated deaths each year. The CDC and WHO correlated the antibiotic resistant infections and deaths with over prescribing of antibiotics, improper antibiotic medication administration and slow or inefficient bacterial testing.

### **Antibiotic Resistance**

Antibiotics are designed to fight and kill bacteria but some of them find new ways to survive. They use resistant mechanisms to defend themselves against antibiotics by using instructions provided by their DNA. Resistance genes are found within plasmids, small pieces of DNA that carry genetic instructions, and are shared to make themselves resistant (CDC, 2020).

There are multiple ways bacteria become resistant. They can restrict access of the antibiotic, get rid of antibiotics, change or destroy antibiotics, bypass the effects of antibiotics or change the targets for antibiotics. An example is certain bacteria can change their outer membrane to keep antibiotic drugs from entering the cell.

*Pseudomonas aeruginosa* bacteria generate pumps to get rid of the antibiotic and *klebsiella pneumoniae* bacteria can produce enzymes called carbapenemases, which break down carbapenem drugs and most other beta-lactam drugs (CDC, 2020).

The CDC (2019) recommends more specific testing to diagnose bacteria. Specific testing allows the specific bacteria infecting a human to be grown in culture and tested against specific antibiotics. The testing enables the prescriber to know what antibiotic is

best against that specific bacteria. Another recommendation is longer treatment periods with specific antibiotics to treat bacteria that are resistant to broad spectrum antibiotics.

Research has discovered that a subset of healthy bacteria could prevent colonization against antibiotic resistant bacteria (Pamer, 2016). Antibiotic treatment can damage normal gut bacteria, which could increase susceptibility to infections. Reestablishing normal gut bacteria after antibiotic treatment could help reduce infections. The research is still in the discovery phase but is an example of developing therapies to prevent resistant infections.

Martínez and Baquero (2014) found that there is an emergence of antibiotic resistance and is a relevant problem for human health. Antibiotics cause bacterial growth inhibition by efficiently interacting with its target. There are two ways this occurs: the antibiotic recognizes the bacterial target and there is enough antibiotic to cause inhibition of the bacterial activity. The way antibiotics become resistant is when the bacteria modify their targets or there is a reduction of antibiotics that can access the bacteria (Martinez & Baquero, 2014).

Ventola (2015) found multiple causes for AMR including overuse, inappropriate prescribing, extensive agricultural use, availability of few, newer antibiotics and regulatory barriers. In 2014, The Intercontinental Medical Statistics (IMS) Health Midas database estimated antibiotic consumption based on the volume of antibiotics sold to be 22.0 standard units (standard units meaning one dose, pill, capsule, or ampoule) of antibiotics prescribed per person in the U.S. It found 30% to 50% of all antibiotics prescribed were incorrect due to the wrong treatment indication, choice of antibiotic or

duration of use (Van Boeckel et al., 2014). The antibiotics sold to farmers of livestock in the U.S. accounts for 80% of all antibiotics sold. These animals lose healthy bacteria in the gut leading to overgrowth of resistant bad bacteria and is transferred to humans with consumption. Finally, the regulatory barriers to develop new antibiotics and low cost of selling them make it difficult and not profitable for drug companies to even attempt creating such products.

### **Resistant Antimicrobials**

AMR is now considered one of the greatest threats to human health worldwide. The CDC (2018) reported Methicillin-resistant *Staphylococcus aureus* (MRSA) kills more Americans every year than emphysema, HIV/AIDS, Parkinson's disease and homicide combined. The CDC posted a weekly report that stated MRSA is a major cause of infection, from superficial to invasive infection, sepsis and death (Kourtis et al., 2019). It does point out that progress has been made in preventing infection but evidence suggests that the declining rate has slowed. In 2017, an estimated 119,247 *S. aureus* bloodstream infections with 19,832 associated deaths.

Tuberculosis (TB) is now linked to AMR strains and antibiotics that have been effective against TB are now insufficient. The American Lung Association (2020), explains that TB can occur after inhaling *Mycobacterium tuberculosis* (*M. tuberculosis*) bacteria from a person with active TB and the bacteria can destroy the lung tissue. The WHO (2019) states that only half of multidrug resistant TB is treated effectively. Other infections are resistant to previously effective antibiotics because the antibiotics are used frequently or inappropriately. There is a lack of research and development into new

antibiotic development because preexisting antibiotics have already been developed to cure infections and new antibiotics are considered unnecessary (WHO, 2019).

The WHO (2019) stated antibiotics resistance is not a problem just in underdeveloped countries but worldwide. Common community acquired bacterial infections including TB, gonorrhea, typhoid fever and Group B streptococcus have been noted as becoming AMR. Community-acquired AMR is more concerning because of the ease of transmission. These infections are usually transferred to patients that are already susceptible to other infections.

### **Strategies to Fight Antibiotic Resistance**

Since the emergence of the AMR epidemic in 2013, the CDC released the first report, Antimicrobial Resistance Threats Report, about harm to human health posed by antibiotic resistance, which prompted government and industry leaders to take action. The report described the danger of antibiotic resistance and stated that each year in the U.S. at least 2 million people get an antibiotic-resistant infection and at least 35,000 people die from AMR. In 2014, the President's Council of Advisors on Science and Technology (PCAST) released a report to combat AMR. The report included sections for federal investment and leadership, monitoring antibiotic resistance, new antibiotics, stewardship of current antibiotics for humans/animal agriculture and international cooperation. The report showed that current antibiotic stewardship programs are not sufficient throughout the United States and in only 50 percent of hospitals. It further discussed the need to expand the steward programs into outpatient settings (CDC, 2013).

The president issued Executive Order 13676, which directed federal agencies to implement the recommendations in the PCAST. In 2015, the White House hosted the Forum on Antibiotic Stewardship and released a five-year national action plan, outlining steps for implementing the national strategy to combat antibiotic resistance. The same year congress appropriated funds to support the National Action Plan. In 2016, the U.S. government participated in the United Nations (UN) General Assembly High-Level Meeting on Antimicrobial Resistance, where nations passed a resolution to combat antibiotic resistance worldwide. In 2017, the U.S. President issued an executive order to continue advisory committees that showed commitment to combating antibiotic resistance. Finally, in 2018, the U.S. government participated in the UN General Assembly High-Level Meeting, which covered antibiotic resistance and launched the Antimicrobial Resistance Challenge (ARC). ARC is a year-long campaign by CDC that encourages global organizations to commit further progress against resistance (CDC, 2019).

The WHO (2019) states that there needs to be coordinated action to fight against antimicrobial resistance. AMR is a complex problem that affects all of society. All countries need national action plans and greater innovations and investments to research and the development of antibiotics, vaccines and diagnostic tools. The WHO recommends a Global Antibiotic Research and Development Partnership (GARDP), which is a joint initiative that encourages research and development through public-private partnerships. They hope to develop new antibiotic treatments by 2023. They also propose the “Interagency Coordination Group on Antimicrobial Resistance” (IACG), which will coordinate between nations a sharing of antimicrobial knowledge. The final



recommendation is for the implementation of antimicrobial stewardship programs (ASP) to help combat the already existent problems with overprescribing and incorrectly prescribed antibiotics.

### **Antimicrobial Stewardship Program**

The CDC and WHO both recognize the importance of antibiotic resistance in overall health. Both of these organizations have multiple strategies to help combat the antibiotic resistance world-wide epidemic and it primarily starts with the use of antimicrobial stewardship programs. Stewardship programs have multiple strategies in fighting against the persistent antibiotic resistant crisis that is currently happening (CDC, 2019).

The CDC (2019) identifies core elements to an antibiotic stewardship program. The elements start with leadership commitment to dedicating staff, technology and resources. An appointed leader who is responsible for the program outcomes is key. Drug expertise is needed hence an appointed pharmacist leader is a valuable member to improve antibiotic use.

An article by MacDougall (2005), explains that ASPs in inpatient settings vary and can include antibiotic policies, antibiotic management programs, antibiotic control programs, and other terms. In general, they discuss what type of oversight is used at a healthcare institution to help with antibiotic resistance rates. The programs may allow for substitution of antimicrobials in the same class for cost-saving purposes, switch intravenous-to-oral for highly bioavailable drugs, and pharmacokinetic consultation

services that impact antimicrobial use. The CDC (2019) showed that since 2013, these ASPs have reduced the total AMR 18% overall and 30% in hospital settings.

The ASPs may include infectious diseases physicians, pharmacists, microbiologists, infection control staff, hospital epidemiologists and hospital administrators. The team can implement and design how the AMS program functions. The team ensures therapeutic guidelines, antimicrobial restriction policies, or other measures are based on the best evidence available with low risk to patients. ASPs use education techniques to try and reduce the amounts of antibiotics prescribed. Lee et al. (2015) conducted a systematic review that concluded it is important to develop effective educational programs to reduce antibiotic use. The findings support the importance of not only educating prescribers but also to include other medical professionals and the public.

### **Outpatient Antibiotics and Education**

According to The Pews Report (2016), approximately 13% (154 million visits annually) of all outpatient office visits in the United States result in an antibiotic prescription and 30% (47 million prescriptions) are unnecessary. Outpatient antibiotics prescribed for patients with acute respiratory conditions (sinus infections, middle ear infections, pharyngitis, viral upper respiratory infections, bronchitis, asthma, allergies, influenza, and pneumonia) accounts for 44% of all prescriptions. Half of these prescriptions are unnecessary because they are viral or other conditions that do not require antibiotics.

Feller (2019) published a commentary on the Rhode Island Medical Society webpage titled “Why do doctors overprescribe antibiotic?” which explains multiple reasons for overprescribing. It shows that antibiotics are ordered for disorders not caused by bacteria, bacterial culture results that were contaminated, broad-spectrum antibiotics over narrow spectrum, duration longer than required, wrong doses and antibiotics before culture results or without diagnostic testing. Then it further explains that these decisions to prescribe are made because of cognitive influences. For physicians they might believe antibiotics are “risk free”, undervalue long term risks, have a fear of malpractice, lack of physicians’ diagnostic skills and writing a prescription rather than explaining why it is not needed. Patients may be influenced by media which may mislead them into a need for antibiotics and fear of “infections”. Patients and physicians more easily recall someone “cured” with antibiotics and do not understand the antibiotic resistance. Finally there is a patient-centered care movement which means patients are more likely to request or demand medications.

The CDC (2019) has posted guidelines, “The Core Elements of Outpatient Antimicrobial Stewardship” which reviews the fundamental elements of the program. The four core elements of outpatient antibiotic stewardship listed are commitment, action for policy and practice, tracking and reporting, and education and expertise. The guidelines explain each of the elements and encourages outpatient settings to commit to at least one policy to improve antibiotic prescribing. This offers outpatient settings with educational resources to patients and families on appropriate antibiotic use and clinicians with education aimed at improving antibiotic prescribing. This also provides clinicians with access to experts in established antibiotic stewardship. The program is not

mandatory for outpatient providers, however the individual providers have to accept these programs before it can be implemented. It is a call to action for outpatient centers to join in the fight against antibiotic resistance. Will antibiotic educational programs be effective in an outpatient setting at reducing antibiotic prescribing?

## Theoretical Framework

The theoretical framework used for this systematic review was the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA). The PRISMA Statement was created to improve the reporting of systematic reviews and meta-analyses. PRISMA can also be used for reporting systematic reviews of other types of research, particularly evaluations of interventions. PRISMA is useful for critical appraisal of published systematic reviews (Moher, Liberati, Tetzlaff, Altman, The PRISMA Group, 2009).

PRISMA statement consists of a 27-item checklist (Appendix A) and four-phase flow diagram (Appendix B). The checklist is divided into seven sections including title, abstract, introduction, methods, results, discussion, and funding. Each of these sections have criteria listed to complete each section. It summarizes all of the results from multiple studies into a single document.

A four-phase flow diagram (Appendix B) shows the flow of information through the different phases of searching for randomized control trials and maps out the number of records identified, included and excluded, and the reasons for exclusions (Moher et al., 2009). Identification finds research studies within online databases and uses search terms in different combinations. This allowed the following terms to be utilized: Antimicrobial Stewardships Programs, prescribing, education, bacteria, antibiotics, reduce antibiotic, outpatient, primary care and randomized control trial. The results were checked to eliminate duplicate studies and appropriateness for research articles that applied to the research question. Then eligibility of the studies left were checked to see if they met

specific inclusion and exclusion criteria. Inclusion criteria were outpatient settings, antibiotic teaching, and studies within the last 10 years. Exclusion criteria consisted of inpatient settings and only new educational programs for antibiotic prescribing. Finally, there were five randomized control trials found for the systematic review.

## **Method**

### **Purpose/Clinical Question/Outcomes Examined**

The purpose of this systematic review was to determine if educational programs help to combat the world-wide epidemic of AMR in an outpatient setting. The clinical question is to examine the effectiveness of antibiotic educational programs in outpatient settings at reducing antibiotic prescribing? The articles were reviewed to see if educational programs helped to lower the amount of antibiotics used in outpatient settings without causing harm to patients.

### **Inclusion/Exclusion Criteria/Limits**

The systematic review included studies involving patients in outpatient settings that require antibiotics. Inclusion criteria included randomized control trials that reviewed the rates of antibiotic prescribing in outpatient settings while using an educational program for recommended antibiotic prescribing and teaching for healthcare staff and patients. Exclusion criteria included any randomized control trials that are older than ten years, patients that are hospitalized or in long term care facilities.

### **Procedure**

A literature search was conducted utilizing the search engines MEDLINE, PubMed, Google Scholar and CINHALL. The search criteria included Antimicrobial Stewardships Programs, prescribing, education, bacteria, antibiotics, reduce antibiotic, outpatient, primary care and randomized control trial. A total of five articles were identified for the systematic review.

Using the PRISMA four-phase diagram (Appendix A), 337 studies were identified and after being screened for duplicates, 117 articles were left. The 117 articles were screened for eligibility and 80 were excluded. The majority of articles were excluded for they were not specific to the outpatient setting. The remaining 37 full text studies were further assessed for eligibility, which resulted in 5 appropriate randomized control trials. The PRISMA four-phase diagram helped determine these articles were appropriate for this systematic review (Moher et al., 2009).

**Data collection.** The data was organized in a collection table (Appendix C). The data collected included the title of study, author(s), and type of randomized control trial. The aim/purpose and design for the study was listed as well as the sample of patients and providers. Finally the method of education was listed and the outcomes after receiving antibiotic education.

Table 1. (Appendix C)

Study:				
<u>AIM/PURPOSE</u>	<u>DESIGN</u>	<u>SAMPLE</u>	<u>METHODS</u>	<u>OUTCOMES</u>

**Critical appraisal.** The data was appraised using the Critical Appraisal Skills Program (CASP) checklist. The CASP has 11 questions to evaluate the randomized control trials and determine the quality of the randomized control trials included in the



systematic review. The check list of questions determined the validity and conception of results and if they can be applied to the population chosen (Casp UK, 2013). A checklist was done for each of the five articles chosen (Appendix D).

**Cross-study analysis.** A cross-study analysis compared the different randomized control trials included in the systematic review. Using the cross-study analysis helped to summarize if educational programs reduced the amount of prescribed antibiotics in an outpatient setting. Each study was listed with the type of educational intervention used and the outcomes of antibiotic prescribing after the intervention (Appendix E).

Table 2. (Appendix E)

Study	Intervention	Outcomes

## Results

The Prisma four-phase flow diagram (Appendix A) guided the process of identifying five randomized control trials. The PRISMA 27 item checklist (Appendix B) was used to identify the randomized control trials used for this systematic review. A data table (Appendix C) organized the findings of each randomized control trials based on the purpose, design, sample, methods and outcomes. The randomized control trials were assessed from the Critical Appraisal Skills Programme (CASP), a randomized control trial checklist (Appendix D). Lastly, the studies were summarized in a cross study analysis to compare the outcomes across the studies (Appendix E).

### Individual Study Results

The randomized control trial completed by Butler et al. (2012) evaluated the effectiveness and cost of a multifaceted flexible educational program that was aimed at reducing antibiotic dispensing in primary care. The article describes the online training program STAR: Stemming the Tide of Antibiotic Resistance. This program includes case studies, provider reflection on prescribing antibiotics, choice of antibiotics, non-medical factors that influence prescribing decision and patient communication. It reinforces healthcare providers concepts of AMR and reflects when to prescribe antibiotics.

The sample from Butler et al. (2012) was comprised of 68 general practices with an estimated 480,000 patients in Wales, United Kingdom. Thirty-four practices were randomized to receive the education program and 34 practices to be the control with no education program. Dynamic block allocation was used to achieve balance between

groups of practices for rate of antibiotic dispensing, practice size, and proportion of clinicians. Practices were then divided into three arms with 24, 22 and 22 practices in each arm. Each arm was then divided into an intervention group or control group. The outcomes measured the number of antibiotics prescribed for all causes per 1000 patients in a year compared to previous year in the intervention group. They also compared the rate of prescribed antibiotics for all causes per 1000 patients in a year compared to the previous year in the control group. Finally both the intervention group and the control group were compared to each other.

The results from Butler et al. (2012) showed the rate of antibiotic prescriptions per 1000 patients decreased by 14.1 per 1000 patients in the intervention group and an increase of 12.1 per 1000 patients in the control group showing a net difference of 26.2 per 1000 patients. After adjustments for baseline dispensing a 4.2 percent reduction in oral antibiotic dispensing was found for the year relative to the control group ( $P = 0.02$ ). The reduction of antibiotic prescribing was found in all classes of antibiotics except for with penicillinase-resistant penicillin. The largest prescription reduction was associated with phenoxymethylpenicillins (penicillin V) and macrolides. There was no evidence that the intervention prevented hospital admissions or inpatient revisits for respiratory tract infections.

The Butler et al. (2012) study showed that the learning approach used for education for clinicians was effective in reducing the amount of antibiotic prescribing. Using the Critical Appraisal Skills Program (CASP) randomized controlled trials checklist, it showed the results of the trial as valid except the participants were not blind to the study. The results apply to the context of this systematic review and the outcomes

were considered. The benefits were worth the costs as it showed no harm to any of the participants.

The cluster randomized trial by Gerber et al. (2013) evaluated the effect of outpatient antimicrobial stewardship interventions on antibiotic prescribing for pediatric outpatients. The trial was set up by block-randomized practices (clusters) by location and volume. The unit of observation was the provider but randomized at practice level to avoid intra-practice contamination of the intervention. A network of 25 pediatric primary care practices in Pennsylvania and New Jersey were chosen and 18 practices participated including 162 clinicians.

The intervention from Gerber et al. (2013) was a one-hour on-site clinician education session followed by personalized, quarterly/audit feedback on prescribing for bacterial and viral acute respiratory tract infections (ARTIs). Broad spectrum antibiotic prescribing for bacterial and viral ARTIs were compared for one year after the intervention in the intervention group and control.

The Gerber et al. (2013) study obtained electronic health records used by all practice sites for charting and prescribing from office and telephone encounters. The results showed that broad spectrum antibiotic prescribing decreased from 26.8% to 14.3% in the intervention practices and only 28.4% to 22.6% in the control practices (difference of difference [DOD], 6.7 percent;  $P = 0.01$ ). The study measured off guideline prescribing for children: off guideline prescribing for pneumonia decreased from 15.7% to 4.2% in the intervention group compared to 17.1% to 16.3% in the control group (DOD, 10.7 percent;  $P < .001$ ), and prescribing related to acute sinusitis decreased from

38.9% to 18.8% in the intervention group compared to 40.0% to 33.9% in control group (DOD, 14.0 percent; P=.12) respectively. The off guideline prescribing for streptococcal pharyngitis and viral infections was determined to be at baseline.

The results from Gerber et al. (2013) showed that clinician education and feedback improved adherence to prescribing guidelines for bacterial ARTIs. There was no difference noted with prescribing for viral infections. The results of this trial using CASP showed that these results were valid. The difference between groups was significant considering the changes in trajectories of broad-spectrum prescribing before and during the intervention between the two groups of practices. The results show that the antimicrobial stewardship education helped locally to lower the rate of unnecessary antibiotic prescribing and concluded the results can be generalized. The article did not discuss if all of the participants were truly blind to the study.

The three-arm, cluster-randomized trial by Gonzales et al. (2013) compared the impact of two decision support strategies for antibiotic treatment of acute bronchitis. There were 33 primary care practices in central Pennsylvania chosen for the trial. These practices consisted of 9 large practices (with 9,000 to 15,000 annual patient visits) that were randomly assigned to each study arm. The remaining 23 smaller practices (with 2,000 to 9,000 annual visits) were also randomly assigned to each study arm. Eleven practices received printed decision support (PDS) for acute cough illness, 11 other practices received electronic medical record-based decision support (CDS) and 11 practices comprised the control group.

Gonzales et al. (2013) provided the PDS printed information for patients when they had a chief complaint of “cough”. An informational poster on common causes of cough and treatments was placed in the exam room. The CDS sites were alerted to “best practice alert” when chief complaint of cough was entered into the electronic health record. The CDS sites had an electronic alert and “Smart Set” utilization when captured at the patient record level while inputting symptoms. The “Smart Set” would create order sets for relevant testing and treatment options for bronchitis, pneumonia, sinusitis, URI and influenza. Then a template was provided to include documenting relevant history and physical exam findings for patients with acute respiratory infections (ARI). This data helped to categorize the probability of pneumonia and groups of electronic order sets were created to simplify testing and treatment options for bronchitis, pneumonia, sinusitis, ARI, and influenza. Both groups received clinician education and feedback on prescribing practices and patient education brochures. Antibiotic prescription rates for uncomplicated acute bronchitis for the winter of 2009-2010 were compared with the previous 3 winter periods.

Gonzales et al. (2013) showed the PDS group antibiotic prescribing decreased from 80.0% to 68.3%. The CDS group antibiotic prescribing decreased from 74% to 60.7%. The control group increased slightly from 72.5% to 74.3%. The differences for the intervention groups were significant from the control (control vs. PDS  $P = 0.003$ ; control vs. CDS  $P = 0.014$ ). The change was not significant between the two intervention groups (PDS vs. CDS  $P = 0.67$ ). However, one-third of all providers reduced their antibiotic prescribing by over 20% in both intervention groups.

The CASP showed that results of the Gonzales et al. (2013) trial were valid. It wasn't clear if the groups were blind to the study as all of the primary care practices belonged to an integrated health care system and from the same area. The outcomes of implementing strategies for clinical algorithm-based decision support for acute cough were equally effective with printed and computer-assisted approaches. These results can be applied to this research and all outcomes were considered. The study showed no significant differences in the return rate of patient visits after the intervention, suggesting the decrease in antibiotic treatments were not associated with adverse effects.

The randomized control trial by McNulty et al. (2018) studied whether local trainer-led TARGET antibiotic workshops would improve the rate of antibiotic prescribing in general practices. The trial used the McNulty-Zelen cluster randomized control trial design, which conceals from educational participants that they are in a trial. The trial obtains consent from a trusted third party to give consent on participants' behalf, then intervention practice staff choose whether to attend the offered education. The study used the McNulty-Zelen-design randomized controlled trial within three regions of England, 152 general practices were stratified by clinical commissioning group, antibiotic dispensing rate and practice patient list size. The practices were randomly allocated and 73 practices were offered the TARGET intervention.

TARGET workshops in the McNulty et al. (2018) trial included a presentation, antibiotic reflective data, providing staff and patient resources, clinical scenarios and action planning. The program included TARGET leaflets that are patient-focused and include information about self-care, expected illness duration and when to reconsult a

physician. These patient-focused teaching pamphlets explain the need for antibiotics and help the provider with delayed prescribing of antibiotics to their patients.

The McNulty et al. (2018) study had 36 practices (51%) that accepted TARGET workshop invitation and 79 control practices. There was an intent-to-treat (ITT) analysis done that showed a 2.7% lower rate of total antibiotic prescribing in the intervention practices compared to the control group ( $P = 0.06$ ). These rates include 4.4% lower prescribing of amoxicillin/ampicillin ( $P = 0.02$ ) and 5.6% lower for trimethoprim ( $P = 0.03$ ) and a non-significant 7.1% higher rate for nitrofurantoin compared to the control group ( $P = 0.06$ ).

The CASP shows that the results of the McNulty et al. study were valid. After the McNulty et al. (2018) trial, the Complier Average Casual Effect (CACE) analysis showed that there was a 6.1% lower antibiotic prescribing rate and 11% trimethoprim prescribing in the intervention with TARGET practices compared to the control. The use of TARGET workshops including the freely available resources reduced the rate of antibiotic prescribing in primary care. The trial shows education benefits this research with no evidence of harm to the patients.

The Legare et al. (2010) trial showed that involvement of patients leads to shared decision making (SDM) during the visit. This would also provide optimal decisions by the FPs and patient that would translate into optimal prescribing. The two-arm parallel clustered pilot randomized control trial was used to develop, adapt, and validate DECISION+ and estimate its impact on antibiotic use by family physicians (FPs) and their patients for acute respiratory infections (ARI). DECISION+ protocol educates FPs



about probability of bacterial versus viral ARI with benefits and risks associated with each option. It provided strategies to communicate with the patient and how to involve patients in the decision making of antibiotics use. A biostatistician simultaneously randomized four family medicine groups (FMGs) to the immediate DECISION+ experimental group and the delayed DECISION+ control group. From the 4 FMGs, there were 33 FPs and 459 patients that participated (FMGs = 2, FPs = 18, patients = 245) and the control group (FMGs = 2, FPs = 15, patients = 214). The experimental group had received peer training sessions and workshops that the control group did not when initiating the DECISION+ program.

The CASP shows valid results for this trial and for experimental group, 21% fewer patients decided to use antibiotics immediately compared to the 8% in the control group ( $P = 0.08$ ). Out of the 33 FPs, three (9%) dropped out of the Legare et al. (2010) trial with no reasons explained. The experimental group had 20 patients (8%) drop out of the trial and 14 patients (5%) from the control group because they did not follow up in two weeks. It was also unclear if the study was a true blind study as the FPs in the control group could have looked up DECISION+ information. The study found education for providers and patients contribute to the reduction of antibiotic prescribing. The DECISION+ program lowers the antibiotics for ARIs without adverse patient outcomes.

### **Cross-Study Analysis**

All five of the randomized control trials found that educational programs reduced the overall prescribing of antibiotics. Butler et al. (2012) used the Stemming the

Tide of Antibiotic Resistance (STAR) educational program and showed a rate of oral antibiotic dispensing decreased by 14.1 per 1000 in the intervention group and increased by 12.1 per 1000 in the control group for a net difference of 26.1 per 1000. The Gerber et al. (2013) used clinician education coupled with audit and feedback of an antibiotic prescribing to children with ARTIs. This showed a broad-spectrum antibiotic prescribing decreased from 26.8% to 14.3% among intervention practices and only 28.4% to 22.6% in the control. Off-guideline prescribing for children with pneumonia decreased from 15.7% to 4.2% among intervention practices and 39.8% to 18.8% for acute.

Gonzales et al. (2013) used two intervention groups with printed decision support (PDS), a computer decision support (CDS) and a control group. PDS group antibiotic prescribing decreased from 80.0% to 68.3%, CDS group decreased from 74% to 60.7% and the control group increased slightly from 72.5% to 74.3% for prescribed antibiotics for acute cough illnesses. It was also found that one third of the providers in intervention groups reduced prescribing antibiotics all together by 20%. The McNulty et al. (2018) study had intervention participants that complete a TARGET workshop. This study showed a 6.1% lower antibiotic prescribing rate and 11% lower trimethoprim prescribing in the intervention with TARGET practices compared to the control. Finally Légaré et al. (2010) used DECISION+ and showed 21% fewer patients decided to use antibiotics immediately and the control group only 8% fewer patients decided to use antibiotics. Percentage of patients who decided to use antibiotics after consultation was 52.2% in the control group and 27.2% in the experimental group.

Not all clinicians in each practice had participated so studies that would analyze data from practices where all clinicians participated could increase potential practice

effects on antibiotics. The studies also do not include patients' perceptions of antibiotics, which sometimes drives the prescribing of antibiotics for patient satisfaction.

## Summary and Conclusions

The CDC and WHO have determined that AMR is a growing epidemic that needs further investigation and research to lower the rates of resistance. According to the Center for Disease Control and Prevention (CDC, 2020), the United States has over 35,000 deaths and over 2 million illnesses per year due to antibiotic resistance (WHO, 2020). The WHO and CDC acknowledge the need for stricter regulations on antibiotic prescribing.

Both organizations found implementation of ASPs helps fight the epidemic of antibiotic resistance in inpatient setting. One key aspect of ASPs effort to reduce AMR is the creation of educational tools for providers to enhance appropriate antibiotic prescribing practices (WHO, 2018). The purpose of this systematic review is to examine the effectiveness of antibiotic educational programs in an outpatient setting.

To research this a search of current randomized control trials from the past ten years was done using MEDLINE, PubMed, Google Scholar and CINHAL. The search criteria included antimicrobial stewardship programs, outpatient, bacteria, antibiotics, primary care and randomized control trial. Using the Prisma 4-phase flow diagram (Appendix A) (Moher, 2009), 5 randomized control trials were selected with the assistance of the PRISMA 27 item checklist (Appendix B).

The data from each of the randomized control trials was organized into a data table (Appendix C). The purpose, design, sample, methods and outcomes of the studies were listed. The Critical Appraisal Skill Program (CASP) was used to critically appraise the different types of evidence in each study. Finally, a cross study analysis data table (Appendix E) was utilized with each studies name, interventions and outcomes. The

studies were categorized with the name of the study, the interventions used and the outcomes of the study.

All of the studies included show that when antibiotic education is provided in an outpatient setting, providers reduce their rates of antibiotic prescribing. These studies show that with education and training in an outpatient setting, lower prescribed antibiotics can be achieved. They also focused on outpatient prescribing and teaching to reduce the amount of prescribed antibiotics for unnecessary illness.

The CDC points out four ways to reduce AMR and these studies focused on the appropriate use of antibiotics (CDC, 2019). There is still a need for better lab diagnostics to improve antibiotic prescribing, sharing of data and better infection control measures. Studies could compare data about diagnostic machines to see which ones were more accurately testing specimens. The outpatient settings could determine if sharing of data amongst each other would help to lower antibiotic prescribing rates. Studies could also compare rates of bacterial infections to seasons or by age group to see if each practice is prescribing at the same rates as others.

The World Health Organization (WHO, 2018) also acknowledges the need for stricter regulations on antibiotic prescribing. The ASPs have already been established in the inpatient setting and they have in-house pharmacist, infectious disease consults, and computer algorithms that help antibiotic prescribing. Currently outpatient settings are not all electronically linked so it makes it more difficult to use the ASPs found in electronic algorithms. Studies could be performed at the pharmacy level to see if they could track providers and amounts of antibiotic being prescribed by individual providers. The

pharmacists could also require confirmed laboratory data before filling antibiotic prescriptions.

The educational tools being developed and studied appear to lower the amounts of antibiotics prescribed. This systematic review shows that outpatient antibiotic educational programs are effective. These educational programs effectively help continue to lower the amount of antibiotic resistance the world faces today but further research is needed.

### **Recommendations and Implications for Advanced Nursing Practice**

The CDC and WHO have clearly provided data that antibiotic resistance is a worldwide issue that needs to be addressed. The evidence is supported by both organizations and multiple recommendations to help with resistance are suggested including utilizing educational programs for providers and patients. Educational programs have developed training tools, teaching seminars, chart reviews, evidence-based practice prescribing recommendations, patient teaching, and joint patient/provider decision making.

The five studies included in this systematic review all showed that implementing the education programs helped to lower the amount of prescribed antibiotics without adverse effects to the patient. These studies prove to the advanced practice registered nurse (APRN) that education plays a key role in decision making about prescribing. Some of the studies included the patients in decision making which helped providers to not prescribe antibiotics, without patient satisfaction being compromised.

The role of an APRN includes research and education to help all APRNs in following evidence-based practice in the field. An article by Harbman et al. (2016) states that health care administrators are seeking new ways to utilize all dimensions of APRN expertise, especially related to research and evidence based practice. The article showed that international studies reveal research as the most underdeveloped and underutilized aspect of these roles. The APRNs should be utilized in conducting point of care research, quality improvement and evidence based practice projects. This helps healthcare systems to improve patient, provider, and system outcomes which benefits everyone.

An article by Lamb et al. (2018) was aimed at describing the leadership capabilities of advanced practice nurses. It showed that the APRN should be considered in organization's patient focused leadership and organization/system focused leadership. The article describes APRNs as leaders that can contribute to environmental improvements for patients, families, nurses, healthcare providers and the healthcare system. With education and evidence-based practice/research being conducted by APRNs, they can help implement strategies to reduce the overprescribing of antibiotics.

The role of an APRN is not just limited to being a provider for patients. All APRNs should consider themselves as providers that can research best practice and implement change in the healthcare systems that they work in. If there is a lack of education or research, the APRN should recognize the need and try to implement it. Using current research and starting programs, like the educational programs discussed, is within the role of the APRN.



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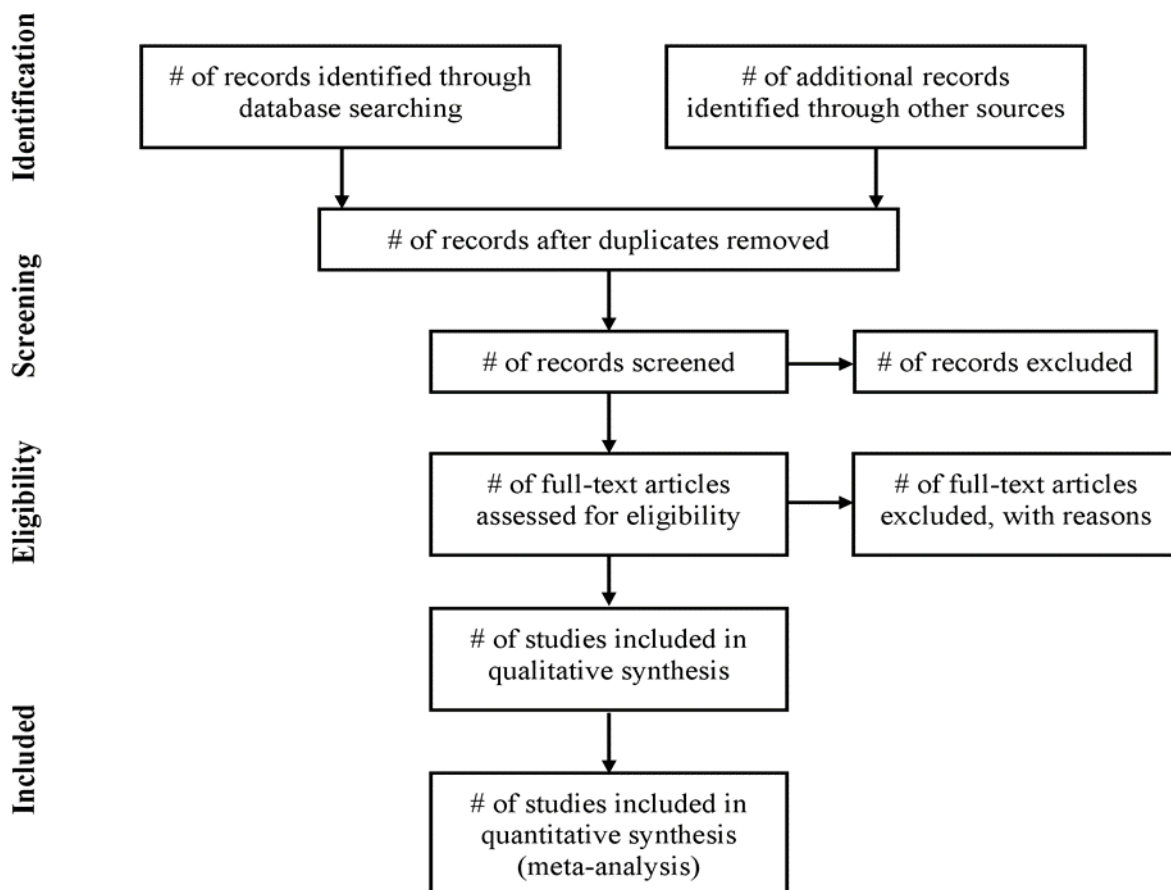
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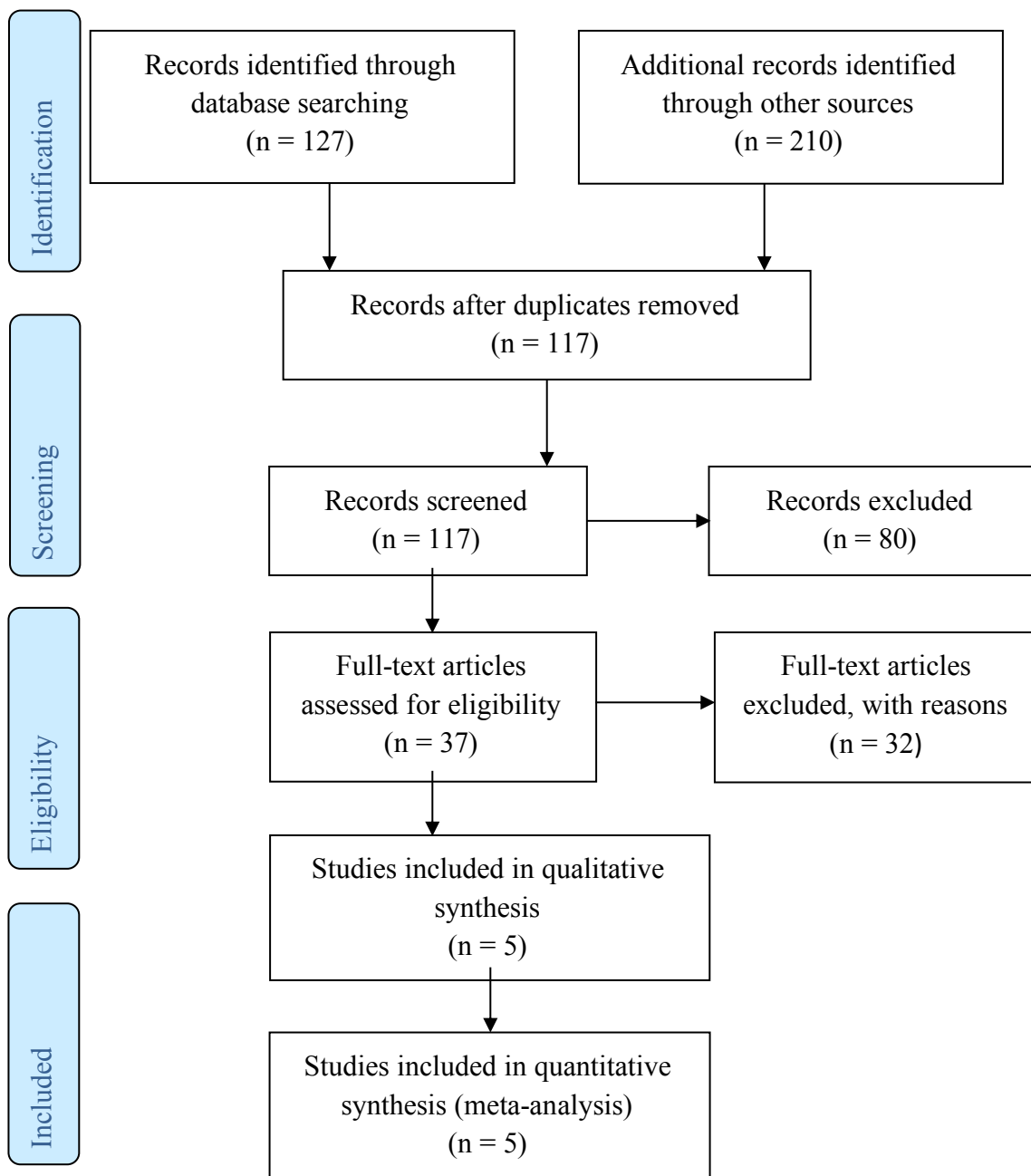
## Appendix A1

### Four-phase flow diagram



## Appendix A2

## PRISMA 2009 Flow Diagram



## Appendix B

### PRISMA 27 Item checklist:

Section/topic	#	Checklist Item	Reported on page #
<b>TITLE</b>			
Title	1	Identify the report as a systematic review, meta-analysis, or both.	
<b>ABSTRACT</b>			
Structured summary	2	Provide a structured summary including, as applicable: background; objectives; data sources; study eligibility criteria, participants, and interventions; study appraisal and synthesis methods; results; limitations; conclusions and implications of key findings; systematic review registration number.	
<b>INTRODUCTION</b>			
Rationale	3	Describe the rationale for the review in the context of what is already known.	
Objectives	4	Provide an explicit statement of questions being addressed with reference to participants, interventions, comparisons, outcomes, and study design (PICOS).	
<b>METHODS</b>			
Protocol and registration	5	Indicate if a review protocol exists, if and where it can be accessed (e.g., Web address), and, if available, provide registration information including registration number.	
Eligibility criteria	6	Specify study characteristics (e.g., PICOS, length of follow-up) and report characteristics (e.g., years considered, language, publication status) used as criteria for eligibility, giving rationale.	
Information sources	7	Describe all information sources (e.g., databases with dates of coverage, contact with study authors to identify additional studies) in the search and date last searched.	
Search	8	Present full electronic search strategy for at least one database, including any limits used, such that it could be repeated.	
Study selection	9	State the process for selecting studies (i.e., screening, eligibility, included in systematic review, and, if applicable, included in the meta-analysis).	
Data collection process	10	Describe method of data extraction from reports (e.g., piloted forms, independently, in duplicate) and any processes for obtaining and confirming data from investigators.	
Data items	11	List and define all variables for which data were sought (e.g., PICOS, funding sources) and any assumptions and simplifications made.	
Risk of bias in individual studies	12	Describe methods used for assessing risk of bias of individual studies (including specification of whether this was done at the study or outcome level), and how this information is to be used in any data synthesis.	
Summary measures	13	State the principal summary measures (e.g., risk ratio, difference in means).	
Synthesis of results	14	Describe the methods of handling data and combining results of studies, if done, including measures of consistency (e.g., $I^2$ ) for each meta-analysis.	



Section/topic	#	Checklist item	Reported on page #
Risk of bias across studies	15	Specify any assessment of risk of bias that may affect the cumulative evidence (e.g., publication bias, selective reporting within studies).	
Additional analyses	16	Describe methods of additional analyses (e.g., sensitivity or subgroup analyses, meta-regression), if done, indicating which were pre-specified.	
<b>RESULTS</b>			
Study selection	17	Give numbers of studies screened, assessed for eligibility, and included in the review, with reasons for exclusions at each stage, ideally with a flow diagram.	
Study characteristics	18	For each study, present characteristics for which data were extracted (e.g., study size, PICOS, follow-up period) and provide the citations.	
Risk of bias within studies	19	Present data on risk of bias of each study and, if available, any outcome level assessment (see item 12).	
Results of individual studies	20	For all outcomes considered (benefits or harms), present, for each study: (a) simple summary data for each intervention group (b) effect estimates and confidence intervals, ideally with a forest plot.	
Synthesis of results	21	Present results of each meta-analysis done, including confidence intervals and measures of consistency.	
Risk of bias across studies	22	Present results of any assessment of risk of bias across studies (see Item 15).	
Additional analysis	23	Give results of additional analyses, if done (e.g., sensitivity or subgroup analyses, meta-regression [see Item 16]).	
<b>DISCUSSION</b>			
Summary of evidence	24	Summarize the main findings including the strength of evidence for each main outcome; consider their relevance to key groups (e.g., healthcare providers, users, and policy makers).	
Limitations	25	Discuss limitations at study and outcome level (e.g., risk of bias), and at review-level (e.g., incomplete retrieval of identified research, reporting bias).	
Conclusions	26	Provide a general interpretation of the results in the context of other evidence, and implications for future research.	
<b>FUNDING</b>			
Funding	27	Describe sources of funding for the systematic review and other support (e.g., supply of data); role of funders for the systematic review.	

## Appendix C1

Butler, C. C., Simpson, S. A., Dunstan, F., Rollnick, S., Cohen, D., Gillespie, D., ... Hood, K. (2012). Effectiveness of multifaceted educational programme to reduce antibiotic dispensing in primary care: practice based randomised controlled trial. <i>British Medical Journal</i> , 344(feb02 1), d8173–d8173. doi: 10.1136/bmj.d8173				
<u>AIM/PURPOSE</u>	<u>DESIGN</u>	<u>SAMPLE</u>	<u>METHODS</u>	<u>OUTCOMES</u>
Evaluate the effectiveness and costs of a multifaceted flexible educational program aimed at reducing antibiotic dispensing at the practice level in primary care.	<p>Randomized controlled trial with general practices to intervention or control. Patients can be managed by any of the providers in the practice so the practice was considered as the unit for randomization and analysis.</p> <p>Dynamic block allocation achieved balance between group of practices for rate of antibiotic dispensing, practice size and proportion of clinicians. Practices then divided into three sets of 24, 22 and 22 practices and each set allocated into two groups. All blinded to group allocation until after randomization.</p> <p>68 general practices with 480,00 patients</p>	<p>34 practices randomized to receive the educational program with 139 clinicians</p> <p>34 practices to be control with 124 clinicians</p>	<p>Stemming the Tide of Antibiotic Resistance (STAR) educational program implemented a practice-based seminar reflecting on the practices' own dispensing and resistance data, online educational elements, and practicing consulting skills in routine care.</p> <p>Control practices provided usual care.</p>	<p>The rate of oral antibiotic dispensing decreased by 14.1 per 1000 in the intervention group, increased by 12.1 per 1000 in the control group, a net difference of 26.2 per 1000.</p> <p>A 4.2% (95% confidence interval 0.6% to 7.7%) reduction in oral antibiotic dispensing for the year in the intervention group relative to the control group (P=0.02)</p> <p>No significant differences between intervention/control practices in the number of admissions to hospital, consultations for a respiratory tract infection within seven days</p>

## Appendix C2

Gerber, J. S., Prasad, P. A., Fiks, A. G., Localio, A. R., Grundmeier, R. W., Bell, L. M., ... Zaoutis, T. E. (2013). Effect of an outpatient antimicrobial stewardship intervention on broad-spectrum antibiotic prescribing by primary care pediatricians. <i>Journal of the American Medical Association</i> , 309(22), 2345-2352. doi: 10.1001/jama.2013.6287				
<u>AIM/PURPOSE</u>	<u>DESIGN</u>	<u>SAMPLE</u>	<u>METHODS</u>	<u>OUTCOMES</u>
Evaluate the efficacy of an antimicrobial stewardship intervention prescribing for pediatric outpatients.	<p>Cluster randomized trial by block-randomized practices (clusters) by location and volume. The unit of observation was the provider but randomized at practice level to avoid intra-practice contamination of the intervention.</p> <p>Outpatient antimicrobial stewardship comparing prescribing between intervention and control practices using a common electronic health record.</p>	<p>25 pediatric primary care practices</p> <p>Of 20 eligible practices 18 agreed to participate</p> <p>9 randomized to intervention with 81 clinicians</p> <p>9 control groups with 81 clinicians</p>	<p>Intervention included clinician education coupled with audit and feedback of an antibiotic prescribing to children with ARTIs</p> <p>Control with no interventions</p>	<p>Broad-spectrum prescribing decreased from 26.8% to 14.3% among intervention practices vs from 28.4% to 22.6%.</p> <p>Off-guideline prescribing for children with pneumonia decreased from 15.7% to 4.2% among intervention practices compared with 17.1% to 16.3% and for acute sinusitis from 39.8% to 18.8%.</p> <p>Off-guideline prescribing was uncommon at baseline and changed little for streptococcal pharyngitis (intervention from 4.4% to 3.4%; control from 5.6% to 3.5%) and for viral infections (7.9% to 7.7%; control, from 6.4% to 4.5%).</p>

### Appendix C3

Gonzales, R., Anderer, T., Mcculloch, C. E., Maselli, J. H., Bloom, F. J., Graf, T. R., ... Metlay, J. P. (2013). A cluster randomized trial of Decision support strategies for reducing antibiotic use in acute bronchitis. <i>Journal of the American Medical Association Internal Medicine</i> , 173(4), 267-263. doi: 10.1001/jamainternmed.2013.1589				
<u>AIM/PURPOSE</u>	<u>DESIGN</u>	<u>SAMPLE</u>	<u>METHODS</u>	<u>OUTCOMES</u>
Comparing the effectiveness of different clinical decision supports interventions for treatment of acute cough illness.	<p>3-arm cluster randomized trial</p> <p>Paper decision strategy (PDS), computer decision strategy (CDS) arm and a control arm.</p> <p>One test site was used for the CDS development and assigned as a control site. The remaining practices, 9 large practices (with 9,000 to 15,000 annual patient visits) were randomly assigned to each study arm, the remaining 23 smaller practices (with 2,000 to 9,000 annual visits) were randomly assigned to each study arm.</p>	<p>PDS 11 practices</p> <p>CDS 11 practices</p> <p>Control 11 practices</p>	<p>PDS intervention arm received decision support for acute cough illness through a print-based strategy</p> <p>CDS group received decision support through an electronic medical record-based strategy</p> <p>Control group of practices served as the control arm</p> <p>Intervention groups received education and feedback on prescribing practices, and patient's received education brochures at check-in.</p>	<p>PDS group antibiotic prescribing decreased from 80.0% to 68.3% of prescribed antibiotics for acute cough illness</p> <p>CDS group decreased from 74% to 60.7%</p> <p>Control group increased slightly from 72.5% to 74.3%.</p> <p>1/3 providers in intervention groups reduced antibiotic prescribing by 20%</p>

## Appendix C4

McNulty, C., Hawking, M., Lecky, D., Jones, L., Owens, R., Charlett, A., ... Francis, N. (2018). Effects of primary care antimicrobial stewardship outreach on antibiotic use by general practice staff: Pragmatic randomized controlled trial of the TARGET antibiotics workshop. <i>Journal of Antimicrobial Chemotherapy</i> , 73(5), 1423–1432. doi: 10.1093/jac/dky004				
<u>AIM/PURPOSE</u>	<u>DESIGN</u>	<u>SAMPLE</u>	<u>METHODS</u>	<u>OUTCOMES</u>
Determine whether local trainer-led TARGET antibiotic interactive workshops improve antibiotic dispensing in general practice.	McNulty-Zelen-design randomized controlled trial of 152 general practices that were stratified by clinical commissioning group, antibiotic dispensing rate and practice patient list size. Then 73 practices were randomly allocated to be offered the intervention, TARGET workshop with a presentation, reflection on antibiotic data, promotion of patient and general practice (GP) staff resources, clinical scenarios and action planning.	73 practices were offered TARGET workshop for the intervention group, 36 accepted  79 practices in control group	Intervention group received TARGET workshop.  Workshop participants completed TARGET AMS self-assessment and a one-hour workshop including the TARGET PowerPoint presentation. Advantages and evidence around benefits for or against antibiotics for common community infections using national PHE antibiotic and NICE guidance, clinical scenarios and antibiotic prescribing monitoring.	Initial antibiotic dispensing rate was 2.7% lower in the intervention practices compared to control group.  4.4% lower prescribing of amoxicillin/ampicillin 5.6% lower for trimethoprim and a non-significant 7.1% higher rate for nitrofurantoin.  The trial showed a 6.1% lower antibiotic prescribing rate and 11% trimethoprim prescribing in the intervention with TARGET practices compared to the control.

## Appendix C5

Légaré, F., Labrecque, M., Leblanc, A., Njoya, M., Laurier, C., Côté, L., ... St-Jacques, S. (2010). Training family physicians in shared decision making for the use of antibiotics for acute respiratory infections: A pilot clustered randomized controlled trial. <i>Health Expectations</i> , 14, 96–110. doi: 10.1111/j.1369-7625.2010.00616.x				
<u>AIM/PURPOSE</u>	<u>DESIGN</u>	<u>SAMPLE</u>	<u>METHODS</u>	<u>OUTCOMES</u>
To develop, adapt and validate DECISION+ and estimate its impact on decision of family physicians and their patients on whether to use antibiotics for ARIs.	<p>Two-arm parallel clustered pilot randomized controlled trial.</p> <p>A biostatistician simultaneously randomized four family medicine groups to immediate DECISION+ participation (the experimentation of the group) or delayed DECISION+ participation (the control group).</p>	<p>4 of 21 eligible family medical groups (FMGs) enrolled</p> <p>2 FMGs with 18 family practitioners (FPs) and 245 patients in experimental group</p> <p>2 FMGs with 15 FPs and 214 patients in control group</p>	<p>DECISION+, workshops and training were provided to FPs. Two weeks after the initial consultation, patients' adherence to the decision, repeat consultation, decisional regret and quality of life was assessed.</p> <p>Control group providers were given DECISION+ 6 months later.</p>	<p>In the experimental group, 21% fewer patients decided to use antibiotics immediately and the control group only 8%.</p> <p>The percentage of patients who decided to use antibiotics after consultation was 52.2% in the control group and 27.2% in the experimental group (absolute difference 25.0%, adjusted relative risk 0.48, 95% confidence interval 0.34–0.68).</p> <p>DECISION+ was associated with patients taking a more active role in decision-making and reported outcomes 2 weeks after consultation were similar in both groups.</p>

### Appendix D1

Butler, C. C., Simpson, S. A., Dunstan, F., Rollnick, S., Cohen, D., Gillespie, D., ... Hood, K. (2012). Effectiveness of multifaceted educational programme to reduce antibiotic dispensing in primary care: practice based randomised controlled trial. <i>British Medical Journal</i> , 344(feb02 1), d8173–d8173. doi: 10.1136/bmj.d8173			
Section A: Are the results of the trial valid?	Yes	Can't tell	No
1. Did the trial address a clearly focused issue?	X		
2. Was the assignment of interventions randomized?	X		
3. Were all of the participants who entered the trial properly accounted for at its conclusion?	X		
4. Were patients, health workers and study personnel 'blind' to treatment?			X
5. Were the groups similar at the start of the trial?	X		
6. Aside from the experimental intervention, were the groups treated equally?	X		
Section B: What are the results?			
7. How large was the intervention effect? <i>The rate of oral antibiotic dispensing (items per 1000 registered patients) decreased by 14.1 per 1000 in the intervention group but increased by 12.1 per 1000 in the control group, a net difference of 26.1 per 1000.</i>			
8. How precise was the estimate of the intervention effect? <i>A 4.2% (95% confidence interval 0.6% to 7.7%) reduction in total oral antibiotic dispensing for the year in the intervention group relative to the control group (P=0.02).</i>			
Section C: Will the results help locally?	Yes	Can't tell	No
9. Can the results be applied to the local population, or in your context?	X		
10. Were all important outcomes considered?	X		
11. Are the benefits worth the harms and costs?	X		

*Critical Appraisal Skills Programme (CASP) Randomised Controlled Trials Checklist (2013)*

## Appendix D2

Gerber, J. S., Prasad, P. A., Fiks, A. G., Localio, A. R., Grundmeier, R. W., Bell, L. M., ... Zaoutis, T. E. (2013). Effect of an outpatient antimicrobial stewardship intervention on broad-spectrum antibiotic prescribing by primary care pediatricians. <i>Journal of the American Medical Association</i> , 309(22), 2345-2352. doi: 10.1001/jama.2013.6287			
Section A: Are the results of the trial valid?	Yes	Can't tell	No
1. Did the trial address a clearly focused issue?	X		
2. Was the assignment of interventions randomized?	X		
3. Were all of the participants who entered the trial properly accounted for at its conclusion?	X		
4. Were patients, health workers and study personnel 'blind' to treatment?		X	
5. Were the groups similar at the start of the trial?	X		
6. Aside from the experimental intervention, were the groups treated equally?	X		
Section B: What are the results?			
7. How large was the intervention effect? <i>Broad-spectrum antibiotic prescribing decreased from 26.8% to 14.3% (absolute difference, 12.5%) among intervention practices vs from 28.4% to 22.6% (absolute difference, 5.8%) in controls</i>			
8. How precise was the estimate of the intervention effect? <i>The difference between groups was significant considering the changes in trajectories of broad-spectrum prescribing before and during the intervention between the 2 groups of practices (P=.01)</i>			
Section C: Will the results help locally?	Yes	Can't tell	No
9. Can the results be applied to the local population, or in your context?	X		
10. Were all important outcomes considered?	X		
11. Are the benefits worth the harms and costs?	X		

*Critical Appraisal Skills Programme (CASP) Randomised Controlled Trials Checklist (2013)*



### Appendix D3

Gonzales, R., Anderer, T., Mcculloch, C. E., Maselli, J. H., Bloom, F. J., Graf, T. R., ... Metlay, J. P. (2013). A cluster randomized trial of Decision support strategies for reducing antibiotic use in acute bronchitis. <i>Journal of the American Medical Association Internal Medicine</i> , 173(4), 267-263. doi: 10.1001/jamainternmed.2013.1589			
Section A: Are the results of the trial valid?	Yes	Can't tell	No
1. Did the trial address a clearly focused issue?	X		
2. Was the assignment of interventions randomized?	X		
3. Were all of the participants properly accounted for at its conclusion?	X		
4. Were patients, health workers and study personnel 'blind' to treatment?		X	
5. Were the groups similar at the start of the trial?	X		
6. Aside from the experimental intervention, were the groups treated equally?	X		
Section B: What are the results?			
7. How large was the intervention effect? <i>Prescribed antibiotics during intervention period decreased at PDS sites (from 80.0% to 68.3%) at CDS sites (from 74.0% to 60.7%) but increased slightly at the control sites (from 72.5% to 74.3%)</i>			
8. How precise was the estimate of the intervention effect? <i>Differences for intervention sites from control sites (<math>P = .003</math> for control sites vs PDS intervention sites and <math>P = .01</math> for control sites vs CDS intervention sites) between themselves (<math>P = .67</math> for PDS intervention sites vs CDS intervention sites).</i>			
Section C: Will the results help locally?	Yes	Can't tell	No
9. Can the results be applied to the local population, or in your context?	X		
10. Were all important outcomes considered?	X		
11. Are the benefits worth the harms and costs?	X		

*Critical Appraisal Skills Programme (CASP) Randomised Controlled Trials Checklist (2013)*

### Appendix D4

McNulty, C., Hawking, M., Lecky, D., Jones, L., Owens, R., Charlett, A., ... Francis, N. (2018). Effects of primary care antimicrobial stewardship outreach on antibiotic use by general practice staff: pragmatic randomized controlled trial of the TARGET antibiotics workshop. <i>Journal of Antimicrobial Chemotherapy</i> , 73(5), 1423–1432. doi: 10.1093/jac/dky004			
Section A: Are the results of the trial valid?	Yes	Can't tell	No
1. Did the trial address a clearly focused issue?	X		
2. Was the assignment of interventions randomized?	X		
3. Were all of the participants properly accounted for at its conclusion?	X		
4. Were patients, health workers and study personnel 'blind' to treatment?	X		
5. Were the groups similar at the start of the trial?	X		
6. Aside from the experimental intervention, were the groups treated equally?	X		
Section B: What are the results?			
7. How large was the intervention effect? <i>CACE analysis showed that practices that comply with assigned intervention, indicated 6.1% lower total antibiotic dispensing in intervention practices and 11% lower trimethoprim dispensing.</i>			
8. How precise was the estimate of the intervention effect? <i>CACE analysis of those that comply with assigned intervention (95% CI 0.2%-11.7%, P = 0.04) for total antibiotic dispensing in intervention practices and (95% CI 1.6%-19.5%, P = 0.02) for trimethoprim dispensing.</i>			
Section C: Will the results help locally?	Yes	Can't tell	No
9. Can the results be applied to the local population, or in your context?	X		
10. Were all important outcomes considered?	X		
11. Are the benefits worth the harms and costs?	X		

*Critical Appraisal Skills Programme (CASP) Randomised Controlled Trials Checklist (2013)*

### Appendix D5

Légaré, F., Labrecque, M., Leblanc, A., Njoya, M., Laurier, C., Côté, L., ... St-Jacques, S. (2010). Training family physicians in shared decision making for the use of antibiotics for acute respiratory infections: a pilot clustered randomized controlled trial. <i>Health Expectations</i> , 14, 96–110. doi: 10.1111/j.1369-7625.2010.00616.x			
Section A: Are the results of the trial valid?	Yes	Can't tell	No
1. Did the trial address a clearly focused issue?	X		
2. Was the assignment of interventions randomized?	X		
3. Were all of the participants of the trial properly accounted for at its conclusion?			X
4. Were patients, health workers and study personnel 'blind' to treatment?		X	
5. Were the groups similar at the start of the trial?	X		
6. Aside from the experimental intervention, were the groups treated equally?	X		
Section B: What are the results?			
7. How large was the intervention effect? <i>Percentage of patients who decided to use antibiotics after consultation was 52.2% in the control group and 27.2% in the DECISION+ group</i>			
8. How precise was the estimate of the intervention effect? <i>Absolute difference 25.0%, adjusted relative risk 0.48, 95% confidence interval 0.34–0.68</i>			
Section C: Will the results help locally?	Yes	Can't tell	No
9. Can the results be applied to the local population, or in your context?	X		
10. Were all important outcomes considered?	X		
11. Are the benefits worth the harms and costs?	X		

*Critical Appraisal Skills Programme (CASP) Randomised Controlled Trials Checklist (2013)*

## Appendix E

Cross study analysis table

Study	Intervention	Outcomes
Study 1 (Butler et al., 2012)	Stemming the Tide of Antibiotic Resistance (STAR) educational program included a practice-based seminar reflecting on the practices' own dispensing and resistance data, online educational elements, and practicing consulting skills in routine care for the experimental group.	Rate of oral antibiotic dispensing decreased by 14.1 per 1000 in the intervention group  Increased by 12.1 per 1000 in the control group  Net difference of 26.1 per 1000.
Study 2 (Gerber et al., 2013)	Clinician education coupled with audit and feedback of an antibiotic prescribing to children with ARTIs	Broad-spectrum antibiotic prescribing decreased from 26.8% to 14.3% among intervention practices vs from 28.4% to 22.6%.  Off-guideline prescribing for children with pneumonia decreased from 15.7% to 4.2% among intervention practices compared with 17.1% to 16.3% and for acute sinusitis from 39.8% to 18.8%.
Study 3 (Gonzales et al., 2013)	PDS intervention arm received decision support for acute cough illness through a print-based strategy  CDS group received decision support through an electronic medical record-based strategy  Control group of practices served as the control arm  Intervention groups also received education and feedback on prescribing practices, and patient education brochures at check-in.	PDS group antibiotic prescribing decreased from 80.0% to 68.3% prescribed antibiotics for acute cough illnesses  CDS group decreased from 74% to 60.7%  Control group increased slightly from 72.5% to 74.3%.  The differences for intervention groups and control (control vs. PDS P=0.003; control vs. CDS P=0.014) One third providers in intervention groups reduced prescribing 20%  Difference between intervention groups (PDS vs. CDS P=0.67)

<p>Study 4 (McNulty et al., 2018)</p>	<p>Workshop participants completed TARGET AMS self-assessment and a one hour workshop including the TARGET PowerPoint presentation, stress the advantages to staff and patients of AMS, evidence around benefits for or against antibiotics for common community infections using national PHE antibiotic and NICE guidance, clinical scenarios and antibiotic prescribing monitoring</p>	<p>6.1% lower antibiotic prescribing rate 11% trimethoprim prescribing in the intervention with TARGET practices compared to the control.</p>
<p>Study 5 (Légaré et al., 2010)</p>	<p>Primary outcome was the proportion of patients who decided to use antibiotics immediately after consultation with providers using DECISION+ and where shared decision-making had occurred.</p> <p>Control group providers were just given DECISION+ with no training or workshops.</p>	<p>Experimental group showed 21% fewer patients decided to use antibiotics immediately</p> <p>Control group only 8% fewer patients decided to use antibiotics</p> <p>Percentage of patients who decided to use antibiotics after consultation was 52.2% in the control group and 27.2% in the experimental group (absolute difference 25.0%, adjusted relative risk 0.48, 95% confidence interval 0.34–0.68).</p> <p>DECISION+ was associated with patients taking a more active role in decision-making (<math>Z = 3.9</math>, <math>p &lt; 0.001</math>). Patient outcomes 2 weeks after consultation were similar in both groups.</p>